

SY (A/F)

BRACHIUM

Communiⁿ - II

TIME : 2 hrs. march - 09

MARK : 60

Instruction : 1) Attempt all questions.
2) Figures to the right indicate marks.

- Q.1 What is SEBI? Explain the functions of SEBI 15
- OR**
- Q.1 a) Explain the role of capital market in an economy. 08
b) What is securities market? which are the corporate securities traded in the securities market? 07
- Q.2 a) Discuss any six methods of marketing securities in the primary market. 08
b) What are the benefits of listing of securities? 07
- OR**
- Q.2 What is stock exchange? Explain the features and role of stock exchange. 15
- Q.3 a) What are the provisions of Cos Act in relation to the investor Protection? 08
b) Discuss about the Indian financial system. 07
- OR**
- Q.3 a) What is NSE? Discuss the objectives of NSE. 08
b) Explain the code of conduct for portfolio manager. 07
- Q.4 **Write short notes :- (Any 3)** 15
- 1) Insider trading.
 - 2) Bonus issues.
 - 3) Bull and bear.
 - 4) Broker.
 - 5) Jobber.

