

**CODE : DUBLIN**

**Time : 2 Hrs.**

**Marks : 60**

**Instructions :- 1) All questions are compulsory  
2) Figures to the right indicate full marks.**

**Q.1 a) What is a Capital Market? What are its constituents? 10**  
**b) What are the types of Financial Asset? 5**

**OR**

**Q.1 Show the classification of Indian Financial Market in the form of a chart and explain in brief each market. 15**

**Q.2 Explain the functions of New Issue Market in brief. 15**

**OR**

**Q.2 Explain listing procedure in detail 15**

**Q.3 a) Explain features of 'National Stock Exchange' 10**  
**b) Who is a Jobber? 5**

**OR**

**Q.3 What are the defects of Indian Stock Market and also state the steps taken to reform the stock Market? 15**

**Q.4 a) Who are portfolio consultants? 5**  
**b) What is the code of conduct for Brokers? 10**

**OR**

**Q.4 Explain the powers and function of SEBI 15**

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